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Hilbert series of quasi-invariant polynomials in characteristics $p \leq n$

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October 13, 2024 MIT PRIMES October Conference



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Background

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Symmetric Polynomials

Symmetric polynomials stay the same after we permute its variables.

Example In $\mathbf{k}[x_1, x_2, x_3]$: 1. 0 2. $x_1^2 + x_2^2 + x_3^2$ 3. $x_1 x_2 x_3$

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Symmetric Polynomials

 S_n acts on **k** $[x_1, x_2, ..., x_n]$ by permuting $(x_1, x_2, ..., x_n)$.

If s_{ij} is the map that swaps x_i and x_j , then P is symmetric if $s_{ij}P = P$ or $P - s_{ij}P = 0$.

Denote
$$(1 - s_{ij})P = P - s_{ij}P$$
.

Definition

Let $\mathbf{k}[x_1, x_2, ..., x_n]^{S_n}$ denotes the space of symmetric polynomials in $\mathbf{k}[x_1, x_2, ..., x_n]$.

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Quasi-Invariants
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Generalize symmetric polynomials?

Definition (quasi-invariants)

A polynomial *P* is *m*-quasi-invariant iff $(x_i - x_j)^{2m+1} | P - s_{ij}P$. $Q_m(n, \mathbf{k})$ denotes the space of *m*-quasi-invariants in *n* variables over a field \mathbf{k} .

Remark

Symmetric polynomials are m-quasi-invariant for all m.

All polynomials are 0-quasi-invariant.

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Examples of quasi-invariants

For 3 variables in characteristic 3,

$$(1 - s_{12})(x_1^3 - x_2^3) = 2(x_1^3 - x_2^3)$$
$$(1 - s_{13})(x_1^3 - x_2^3) = x_1^3 - x_3^3$$
$$(1 - s_{23})(x_1^3 - x_2^3) = x_3^3 - x_2^3$$

and $(x_i - x_j)^3 = x_i^3 - x_j^3$ over \mathbf{F}_3 . Thus, $x_1^3 - x_2^3 \in Q_1(3, \mathbf{F}_3)$ is 1-quasi-invariant.

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Question

What does the structure of quasi-invariants look like?

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Hilbert series

How to describe a vector space?

Definition

The Hilbert series of a vector space V of polynomials is the power series

$$\mathcal{H}(V) = \sum_d \dim V[d] t^d$$

where V[d] is the subspace of V with degree d.

Goal

Compute the Hilbert Series of the *m*-quasi-invariants.

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Hilbert series

Example

Let V be the polynomials in x over **C**.

$$V[0] = \mathbf{C}$$

 $V[1] = \{cx | c \in \mathbf{C}\}$
 $V[2] = \{cx^2 | c \in \mathbf{C}\}$
:

$$\mathcal{H}(V) = \sum_{d} \dim V[d]t^{d} = \sum_{d} t^{d} = \frac{1}{1-t}.$$

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${\sf Generators} + {\sf Modules}$

Definition

A module M over a ring R is a set equipped with an addition operation and scalar multiplication by elements of R.

A set S in M is a **generating set** if for all $m \in M$, there exists $r_s \in R$ such that $m = \sum_{s \in S} r_s s$.

Example

 $Q_m(n, \mathbf{k})$ forms a module over $\mathbf{k}[x_1, ..., x_n]^{S_n}$. If *P* is *m*-quasi-invariant and *S* is symmetric,

$$SP - s_{ij}(SP) = S(P - s_{ij}P)$$

which is divisible be $(x_i - x_j)^{2m+1}$

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2 variable quasi-invariants

In 2 variables, the only condition for quasi-invariants is that $(x_1 - x_2)^{2m+1} | (1 - s_{12})P$.

Proposition

 $Q_m(2, \mathbf{k})$ is generated by 1 and $(x_1 - x_2)^{2m+1}$ over $\mathbf{k}[x_1, ..., x_n]^{S_n}$.

Remark

The following proof only works for characteristic p > 2.

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Let
$$(1 - s_{12})P = (x_1 - x_2)^{2m+1}K$$
.

K is symmetric since

$$s_{12}((x_1 - x_2)^{2m+1}K) = -(x_1 - x_2)^{2m+1}s_{12}K$$
$$= -(x_1 - x_2)^{2m+1}K.$$

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2 variable quasi-invariants

Since K is symmetric
$$s_{12}((x_1 - x_2)^{2m+1}K) = -(x_1 - x_2)^{2m+1}K$$
.

Idea

For some polynomial *L*, if $s_{12}L = -L$ then $(1 - s_{12})L = 2L$. Then

$$(1-s_{12})P = (1-s_{12})\left(\frac{1}{2}(x_1-x_2)^{2m+1}K\right)$$

implies

$$(1-s_{12})\left(P-(x_1-x_2)^{2m+1}\frac{K}{2}\right)=0.$$

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$$(1-s_{12})\left(P-(x_1-x_2)^{2m+1}\frac{K}{2}\right)=0$$

then $P - (x_1 - x_2)^{2m+1} \frac{K}{2}$ is symmetric.

$$\Rightarrow P = S + (x_1 - x_2)^{2m+1} \frac{K}{2}$$

for some symmetric polynomial S, so P is generated by 1 and $(x_1 - x_2)^{2m+1}$

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Quasi-invariants in characteristic 0?

Theorem (Felder and Veselov, 2003)

$$\mathcal{H}\left(Q_m(3,\mathbf{C})
ight) = rac{t^0+2t^{3m+1}+2t^{3m+2}+t^{6m+3}}{(1-t)(1-t^2)(1-t^3)}$$

This implies $Q_m(3, \mathbf{C})$ is freely generated by generators in degree 0, 3m + 1, 3m + 2, 6m + 3 as a $\mathbf{C}[x_1, x_2, x_3]^{S_3}$ -module.

Remark

Degree 0 generator is 1 and generates symmetric polynomials, the rest generates nonsymmetric.



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Characteristic p

Most of the time the Hilbert series of m-quasi-invariants in characteristic p is the same as that characteristic 0.

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Characteristic p

Theorem (Ren and Xu, 2020)

Let $m \ge 0$ and $n \ge 3$ be integers. Let p be a prime such that there exists integers $a \ge 0$ and $k \ge 0$ with

$$\frac{mn(n-2)+\binom{n}{2}}{n(n-2)k+\binom{n}{2}-1} \leq p^a \leq \frac{mn}{nk+1}.$$

Then the Hilbert series of $Q_m(n, \mathbf{C})$ is different from the Hilbert series of $Q_m(n, \mathbf{F}_p)$.

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Characteristic p
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To prove it, Ren and Xu found an explicit nonsymmetric polynomial in $Q_m(3, \mathbf{F}_p)$ in degree less than the smallest nonsymmetric polynomial in $Q_m(3, \mathbf{C})$.

Example

 $(x_1 - x_2)^3$ is in $Q_1(3, \mathbf{F}_3)$. At the same time, $Q_1(3, \mathbf{C})$ has generators in degree 0, 4, 5 and 9.

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Characteristic p

Goal:

To prove the cases Ren and Xu found are the only times the Hilbert series of $Q_m(3, \mathbf{F}_p)$ differs from that of $Q_m(3, \mathbf{C})$.

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Characteristic p > 3
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Theorem (Wang, 2023) When p > 3,

$$\mathcal{H}(Q_m(3,\mathbf{F}_p)) = \frac{1+2t^{3m+1-d}+2t^{3m+2+d}+t^{6m+3}}{(1-t)(1-t^2)(1-t^3)}$$

for some positive integer d.

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What about for $p \leq 3$?

Representations and our problem:

- If p does not divide |S₃| Maschke's Theorem states all representations in F_p can be decomposed into irreducible representations.
- Wang used Maschkes to prove the results for p > 3.
- Maschke's theorem no longer holds when $p||S_3|$.
- If *p* = 2 or 3, we must consider **modular representation theory** instead.

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Our Project

What is the structure of the m-quasi-invariants in characteristic 2 and 3?



Quasi-invariants in characteristic 2

Turns out we can describe the generators of $Q_m(3, \mathbf{F}_2)$ explicitly.

Theorem

and

Let a be the largest natural number such that $2^a \le 2m + 1$. The generators for $Q_m(3, \mathbf{F}_2)_{\mathrm{std}}$ are

1,

$$(x_1 - x_2)^{2^{a+1}},$$

 $(x_1 - x_2)^{2^a} \prod (x_i - x_j)^{2m+1-2^a},$

$$(x_1x_2^2 + x_2x_3^2 + x_3x_1^2)\prod(x_i - x_j)^{2m}$$

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Quasi-invariants in characteristic 3

Theorem

If d is the degree of Ren and Xu's explicit nonsymmetric polynomial in $Q_m(3, \mathbf{F}_3)$ if it exists, and 3m + 1 otherwise,

$$\mathcal{H}(Q_m(3,\mathbf{F}_3)) = rac{t^0 + 2t^d + 2t^{6m+3-d} + t^{6m+3}}{(1-t)(1-t^2)(1-t^3)}.$$

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Conclusion

In this project we have explicitly described the Hilbert series of *m*-quasi-invariants in 3 variables in characteristic $p \leq 3$.

This brings us close to describing the Hilbert series of m-quasi-invariants in 3 variables for all characteristics.

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Acknowledgements

- I would like thank my mentor Frank Wang for his invaluable guidance and support.
- Thank you also to PRIMES, Dr. Khovanova, Prof. Etingof, and Dr. Gerovitch for providing this amazing opportunity.
- My family.

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